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**CHARLES THOMAS DICKERSON v. UNITED STATES**

No. 99-5525

**SUPREME COURT OF THE UNITED STATES**

*530 U.S. 428; 120 S. Ct. 2326; 147 L. Ed. 2d 405; 2000 U.S. LEXIS 4305; 68 U.S.L.W. 4566; 2000 Cal. Daily Op. Service 5091; 2000 Daily Journal DAR 6789; 2000 Colo. J. C.A.R. 3855; 13 Fla. L. Weekly Fed. S 488*

April 19, 2000, Argued

June 26, 2000, Decided

**PRIOR HISTORY:** ON WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE FOURTH CIRCUIT.

**DISPOSITION:** *166 F.3d 667*, reversed.

**CASE SUMMARY:**

**PROCEDURAL POSTURE:** Petitioner appealed from the judgment of the United States Court of Appeals for the Fourth Circuit, which reversed the grant of his motion to suppress his custodial statement, finding that *18 U.S.C.S. § 3501* had been satisfied.

**OVERVIEW:** Petitioner was indicted for bank robbery, conspiracy to commit bank robbery, and using a firearm in the course of committing a crime of violence. Before trial, petitioner moved to suppress a statement he had made at a Federal Bureau of Investigation field office, on the grounds that he had not received "Miranda warnings" before being interrogated. The district court granted his motion to suppress. The court of appeals reversed the suppression order, holding that *18 U.S.C.S. § 3501*, which made admissibility turn solely on whether the statement was made voluntarily, had been satisfied. On appeal, the court reversed, finding that *Miranda* was a constitutional decision of the court, and therefore could not be in effect overruled by an Act of Congress. Further, following the principles of stare decisis, the court declined to overrule *Miranda* itself. The court held that *Miranda* and its progeny governed the admissibility of statements made during custodial interrogation in both state and federal courts.

**OUTCOME:** Judgment reversed; *Miranda* announced a constitutional rule that Congress could not supersede legislatively, and following the rule of stare decisis, the court declined to overrule *Miranda* itself.

**LexisNexis(R) Headnotes**

*Criminal Law & Procedure > Interrogation > Miranda Rights > Custodial Interrogation*  
*Criminal Law & Procedure > Preliminary Proceedings > Delays*

[HN1] Certain warnings must be given before a suspect's statement made during custodial interrogation could be admitted in evidence.

*Criminal Law & Procedure > Interrogation > Miranda Rights > General Overview*

[HN2] *Miranda*, being a constitutional decision of the Supreme Court of the United States, may not be in effect overruled by an Act of Congress.

*Criminal Law & Procedure > Interrogation > Miranda Rights > Custodial Interrogation*

[HN3] *Miranda* and its progeny govern the admissibility of statements made during custodial interrogation in both state and federal courts.

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***Constitutional Law > Substantive Due Process > Scope of Protection***

***Criminal Law & Procedure > Interrogation > Miranda Rights > Custodial Interrogation***

***Criminal Law & Procedure > Interrogation > Voluntariness***

[HN4] The due process test, as related to custodial interrogation and voluntariness, takes into consideration the totality of all the surrounding circumstances, that is, both the characteristics of the accused and the details of the interrogation.

***Constitutional Law > Bill of Rights > Fundamental Rights > Procedural Due Process > Self-Incrimination Privilege***

***Criminal Law & Procedure > Interrogation > Voluntariness***

[HN5] Courts continue to exclude confessions that were obtained involuntarily.

***Criminal Law & Procedure > Interrogation > Miranda Rights > Custodial Interrogation***

***Criminal Law & Procedure > Interrogation > Miranda Rights > Self-Incrimination Privilege***

***Evidence > Privileges > Self-Incrimination Privilege > Elements***

[HN6] The admissibility in evidence of any statement given during custodial interrogation of a suspect depends on whether the police provided the suspect with four warnings. These warnings (which have come to be known colloquially as "Miranda rights") are: a suspect has the right to remain silent, that anything he says can be used against him in a court of law, that he has the right to the presence of an attorney, and that if he cannot afford an attorney one will be appointed for him prior to any questioning if he so desires.

***Constitutional Law > The Judiciary > Jurisdiction > General Overview***

[HN7] The Supreme Court of the United States has supervisory authority over the federal courts, and it may use that authority to prescribe rules of evidence and procedure that are binding in those tribunals. However, the power to judicially create and enforce nonconstitutional rules of procedure and evidence for the federal courts exists only in the absence of a relevant Act of Congress.

***Constitutional Law > Congressional Duties & Powers > General Overview***

[HN8] Congress retains the ultimate authority to modify or set aside any judicially created rules of evidence and

procedure that are not required by the United States Constitution.

***Constitutional Law > Separation of Powers***

[HN9] Congress may not legislatively supersede decisions of the United States Supreme Court interpreting and applying the United States Constitution.

***Constitutional Law > The Judiciary > Jurisdiction > General Overview***

[HN10] Federal courts hold no supervisory authority over state judicial proceedings and may intervene only to correct wrongs of constitutional dimension.

***Constitutional Law > The Judiciary > Jurisdiction > General Overview***

[HN11] With respect to proceedings in state courts, federal courts' authority is limited to enforcing the commands of the United States Constitution.

***Criminal Law & Procedure > Habeas Corpus > Custody Requirement > Custody Determinations > Satisfaction of Custody***

[HN12] Habeas corpus proceedings are available only for claims that a person is in custody in violation of the Constitution or laws or treaties of the United States. 28 U.S.C.S. § 2254(a).

***Constitutional Law > Bill of Rights > Fundamental Rights > Procedural Due Process > Self-Incrimination Privilege***

***Criminal Law & Procedure > Interrogation > Miranda Rights > Custodial Interrogation***

***Criminal Law & Procedure > Interrogation > Miranda Rights > Self-Incrimination Privilege***

[HN13] The requirement of warnings and waiver of rights is fundamental with respect to the *U.S. Const. amend. V* privilege and not simply a preliminary ritual to existing methods of interrogation.

***Criminal Law & Procedure > Interrogation > Miranda Rights > Notice & Warning***

***Criminal Law & Procedure > Interrogation > Miranda Rights > Self-Incrimination Privilege***

***Evidence > Privileges > Self-Incrimination Privilege > Elements***

[HN14] The *U.S. Const. amend. V* bars police-initiated interrogation following a suspect's request for counsel in the context of a separate investigation.

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**Criminal Law & Procedure > Interrogation > Miranda Rights > Right to Counsel During Questioning**  
**Criminal Law & Procedure > Counsel > Right to Counsel > General Overview**  
**Evidence > Privileges > Self-Incrimination Privilege > Scope**

[HN15] The U.S. Const. amend. V protection against compelled self-incrimination provides the right to counsel at custodial interrogations.

**Constitutional Law > Bill of Rights > Fundamental Rights > Procedural Due Process > Self-Incrimination Privilege**

**Criminal Law & Procedure > Interrogation > Miranda Rights > Custodial Interrogation**

**Criminal Law & Procedure > Interrogation > Miranda Rights > Self-Incrimination Privilege**

[HN16] In order to combat the "compelling pressures" inherent in custodial police interrogation and to permit a full opportunity to exercise the privilege against self-incrimination, the accused must be adequately and effectively apprised of his rights and the exercise of those rights must be fully honored.

**Criminal Law & Procedure > Interrogation > Miranda Rights > Custodial Interrogation**

**Criminal Law & Procedure > Interrogation > Miranda Rights > Self-Incrimination Privilege**

[HN17] Miranda requires procedures that will warn a suspect in custody of his right to remain silent and which will assure the suspect that the exercise of that right will be honored.

**Governments > Courts > Judicial Precedents**

[HN18] While stare decisis is not an inexorable command, particularly when the court is interpreting the United States Constitution, even in constitutional cases, the doctrine carries such persuasive force that courts have always required a departure from precedent to be supported by some special justification.

#### **DECISION:**

*Miranda v Arizona* (1966) 384 US 436, 16 L Ed 2d 694, 86 S Ct 1602, reaffirmed as governing admissibility, in federal and state courts, of statements made during custodial interrogation; conflicting statute (18 USCS 3501) held invalid.

#### **SUMMARY:**

In *Miranda v Arizona* (1966) 384 US 436, 16 L Ed 2d 694, 86 S Ct 1602, the United States Supreme Court held that the admissibility in evidence of any statement given during custodial interrogation of a suspect depended on whether the police provided the suspect with four specific warnings. However, in 1968, Congress enacted 18 USCS 3501, which (1) provided that in a federal criminal prosecution, the admissibility of a suspect's statement made during custodial interrogation turned on whether the statement was voluntarily made under the totality of the circumstances; (2) omitted any requirement that the suspect be given pre-interrogation warnings; and (3) instructed trial courts to consider a nonexclusive list of factors relevant to the circumstances of a confession. A person who had been accused of committing various federal crimes moved, in the United States District Court for the Eastern District of Virginia, to suppress a statement that he had made at a Federal Bureau of Investigation field office, on the grounds that he had not received Miranda warnings before being interrogated. The District Court, although finding that the accused's statement had been voluntary for purposes of the *Federal Constitution's Fifth Amendment*, nevertheless suppressed the statement on the ground that the statement had been obtained in technical violation of Miranda. On interlocutory appeal, the United States Court of Appeals for the Fourth Circuit, in reversing and in ordering a remand for further proceedings, (1) agreed that the accused had not received Miranda warnings before making his statement, but (2) expressed the view that (a) 3501 had been satisfied in the case at hand, (b) Miranda was not a constitutional holding, and (c) the admissibility of confessions in federal court was therefore governed by 3501 rather than by *Miranda* (166 F3d 667).

On certiorari, the Supreme Court reversed. In an opinion by Rehnquist, Ch. J., joined by Stevens, O'Connor, Kennedy, Souter, Ginsburg, and Breyer, JJ., it was held that (1) Miranda was a constitutional decision which could not be in effect overruled by an act of Congress; (2) the Supreme Court itself would decline to overrule Miranda, on the ground that the principles of stare decisis weighed heavily against doing so; (3) 3501, which conflicted with Miranda and was intended to overrule that decision, could not be sustained under the Constitution; and (4) Miranda and Miranda's progeny in the Supreme Court thus governed the admissibility of statements made during custodial interrogation in both state and federal courts.

Scalia, J., joined by Thomas, J., dissenting, expressed the view that (1) a violation of Miranda's rules was a violation not of the Constitution, but of prophylactic rules that went beyond the constitutional right against compelled self-incrimination; (2) Miranda ought to have

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been overruled by the Supreme Court; and (3) 3501 ought to have been upheld.

#### LAWYERS' EDITION HEADNOTES:

[\*\*\*LEdHN1]

COURTS §530

-- power of Congress -- constitutional decision --  
Miranda

Headnote:[1A][1B][1C][1D][1E][1F]

The United States Supreme Court's decision in *Miranda v Arizona* (1966) 384 US 436, 16 L Ed 2d 694, 86 S Ct 1602--holding that the admissibility in evidence of any statement given during custodial interrogation of a suspect depends on whether the police provided the suspect with four specific warnings--is a constitutional decision which may not be in effect overruled by an act of Congress, notwithstanding language in some of the Supreme Court's subsequent opinions that supports a view that the Miranda protections are not constitutionally required, for (1) Miranda and two of its companion cases applied the rule to proceedings in state courts; (2) the Supreme Court--whose authority with respect to proceedings in state courts is limited to enforcing the commands of the Federal Constitution--has consistently applied Miranda's rule to prosecutions arising in state courts; (3) the Supreme Court has allowed prisoners to bring alleged Miranda violations before the federal courts in federal habeas corpus proceedings, which are available only for claims that a person is in custody in violation of the Constitution or laws or treaties of the United States; (4) Miranda's majority opinion is replete with statements indicating that the majority thought that it was announcing a constitutional rule; (5) many subsequent Supreme Court cases have referred to Miranda's constitutional underpinnings; (6) Miranda's majority opinion expressed the view that the Constitution would not preclude legislative solutions which differed from the prescribed Miranda warnings, but were at least as effective in (a) apprising accused persons of the right of silence, and (b) assuring a continuous opportunity to exercise that right; and (7) a contrary conclusion is not required by the circumstances that the Supreme Court has (a) made exceptions from the Miranda rule, and (b) stated that the Miranda rule serves the *Constitution's Fifth Amendment* and sweeps more broadly than the *Fifth Amendment* itself. (Scalia and Thomas, JJ., dissented from this holding.)

[\*\*\*LEdHN2]

COURTS §775

-- adherence to former decision -- Miranda

Headnote:[2A][2B][2C][2D][2E]

In a case involving the federal constitutional validity of a federal statute (18 USCS 3501) which provides that in a federal criminal prosecution, the admissibility of a suspect's statement made during custodial interrogation turns on whether the statement was voluntarily made under the totality of the circumstances--which statute conflicts with, and was intended to overrule, the United States Supreme Court's decision in *Miranda v Arizona* (1966) 384 US 436, 16 L Ed 2d 694, 86 S Ct 1602--the Supreme Court will decline to overrule Miranda, regardless of whether the Supreme Court would agree with Miranda's reasoning and its resulting rule if the Supreme Court were addressing the issue in the first instance, where the principles of stare decisis weigh heavily against overruling Miranda, in that there is no special justification for doing so, as (1) Miranda has become embedded in routine police practice to the point where the warnings that Miranda requires the police to provide a suspect during custodial interrogation have become part of the national culture; (2) Supreme Court cases subsequent to Miranda have not undermined Miranda's doctrinal underpinnings; (3) rather, such cases have reduced the impact of the Miranda rule on legitimate law enforcement while reaffirming Miranda's core ruling that unwarned statements may not be used as evidence in the prosecution's case in chief; and (4) although the disadvantage of the Miranda rule is that statements which may possibly be by no means involuntary--made by a suspect who is aware of his or her rights--may possibly nonetheless be excluded and a guilty suspect may possibly go free as a result, experience suggests that the totality-of-the-circumstances test which 3501 seeks to revive is more difficult than Miranda for (a) law enforcement officers to conform to, and (b) courts to apply in a consistent manner. (Scalia and Thomas, JJ., dissented from this holding.)

[\*\*\*LEdHN3]

EVIDENCE §681.5

-- admissibility -- custodial statements

Headnote:[3]

The United States Supreme Court's decision in *Miranda v Arizona* (1966) 384 US 436, 16 L Ed 2d 694, 86 S Ct 1602--holding that the admissibility in evidence of any statement given during custodial interrogation of a suspect depends on whether the police provided the suspect with four specific warnings--and the Miranda decision's progeny in the Supreme Court govern the admissibility of statements made during custodial interrogation in both state and federal courts.

[\*\*\*LEdHN4]

## EVIDENCE §683

-- custodial confessions -- voluntariness -- Miranda

Headnote:[4A][4B][4C]

18 USCS 3501, which provides that in a federal criminal prosecution, the admissibility of a suspect's statement made during custodial interrogation turns on whether the statement was voluntarily made under the totality of the circumstances, cannot be sustained under the Federal Constitution, for (1) 3501 (a) omits any requirement that the suspect be given pre-interrogation warnings, (b) instructs trial courts to consider a nonexclusive list of factors relevant to the circumstances of a confession, and (c) conflicts with, and was intended to overrule, the United States Supreme Court's decision in *Miranda v Arizona* (1966) 384 US 436, 16 L Ed 2d 694, 86 S Ct 1602, which (i) is a constitutional decision that may not be in effect overruled by an act of Congress, and (ii) held that the admissibility in evidence of any statement given during custodial interrogation of a suspect depends on whether the police provided the suspect with four specific warnings; (2) various additional remedies do not supplement 3501's protections sufficiently to be an adequate substitute for the warnings required by *Miranda*; and (3) thus, the additional remedies together with 3501 do not meet the constitutional minimum. (Scalia and Thomas, JJ., dissented from this holding.)

[\*\*\*LEdHN5]

## EVIDENCE §683

-- custodial confessions -- voluntariness

Headnote:[5A][5B]

A suspect's confessions that are obtained involuntarily are excluded from evidence in state court under the due process clause of the *Federal Constitution's Fourteenth Amendment*; the requirement of *Miranda v Arizona* (1966) 384 US 436, 16 L Ed 2d 694, 86 S Ct 1602, that the police must provide a suspect with four specific warnings during custodial interrogation does not dispense with the voluntariness inquiry.

[\*\*\*LEdHN6]

## COURTS §537.5

SUPREME COURT OF THE UNITED STATES  
§72

-- prescription of rules

Headnote:[6]

The United States Supreme Court has supervisory authority over the federal courts and may properly use that authority to prescribe rules of evidence and proce-

dures that are binding in those courts; however, the power to judicially create and enforce nonconstitutional rules of procedure and evidence for the federal courts exists only in the absence of a relevant act of Congress, as Congress retains the ultimate authority to modify or set aside any judicially created rules of evidence and procedure that are not required by the Federal Constitution.

[\*\*\*LEdHN7]

## COURTS §530

-- power of Congress

Headnote:[7]

Congress may not legislatively supersede the United States Supreme Court's decisions that interpret and apply the Federal Constitution. (Scalia and Thomas, JJ., dissented in part from this holding.)

[\*\*\*LEdHN8]

SUPREME COURT OF THE UNITED STATES  
§9

-- supervisory power

Headnote:[8]

The United States Supreme Court does not hold a supervisory power over the court of the several states; with respect to proceedings in state courts, the Supreme Court's authority is limited to enforcing the commands of the Federal Constitution.

[\*\*\*LEdHN9]

## COURTS §766

-- stare decisis

Headnote:[9]

Stare decisis is not an inexorable command, particularly where the United States Supreme Court is interpreting the Federal Constitution.

## SYLLABUS

In the wake of *Miranda v. Arizona*, 384 U.S. 436, 16 L. Ed. 2d 694, 86 S. Ct. 1602, in which the Court held that certain warnings must be given before a suspect's statement made during custodial interrogation could be admitted in evidence, *id.* at 479, Congress enacted 18 U.S.C. § 3501, which in essence makes the admissibility of such statements turn solely on whether they were made voluntarily. Petitioner, under indictment for bank robbery and related federal crimes, moved to suppress a statement he had made to the Federal Bureau of Investigation, on the ground he had not received "*Miranda*

warnings" before being interrogated. The District Court granted his motion, and the Government took an interlocutory appeal. In reversing, the Fourth Circuit acknowledged that petitioner had not received *Miranda* warnings, but held that § 3501 was satisfied because his statement was voluntary. It concluded that *Miranda* was not a constitutional holding, and that, therefore, Congress could by statute have the final say on the admissibility question.

*Held: Miranda* and its progeny in this Court govern the admissibility of statements made during custodial interrogation in both state and federal courts. Pp. 2-14.

(a) *Miranda*, being a constitutional decision of this Court, may not be in effect overruled by an Act of Congress. Given § 3501's express designation of voluntariness as the touchstone of admissibility, its omission of any warning requirement, and its instruction for trial courts to consider the totality of the circumstances surrounding the giving of the confession, this Court agrees with the Fourth Circuit that Congress intended § 3501 to overrule *Miranda*. The law is clear as to whether Congress has constitutional authority to do so. This Court has supervisory authority over the federal courts to prescribe binding rules of evidence and procedure. *Carlisle v. United States*, 517 U.S. 416, 426, 134 L. Ed. 2d 613, 116 S. Ct. 1460. While Congress has ultimate authority to modify or set aside any such rules that are not constitutionally required, e.g., *Palermo v. United States*, 360 U.S. 343, 345-348, 3 L. Ed. 2d 1287, 79 S. Ct. 1217, it may not supersede this Court's decisions interpreting and applying the Constitution, see, e.g., *City of Boerne v. Flores*, 521 U.S. 507, 517-521, 138 L. Ed. 2d 624, 117 S. Ct. 2157. That *Miranda* announced a constitutional rule is demonstrated, first and foremost, by the fact that both *Miranda* and two of its companion cases applied its rule to proceedings in state courts, and that the Court has consistently done so ever since. See, e.g., *Stansbury v. California*, 511 U.S. 318, 128 L. Ed. 2d 293, 114 S. Ct. 1526 (*per curiam*). The Court does not hold supervisory power over the state courts, e.g., *Smith v. Phillips*, 455 U.S. 209, 221, 71 L. Ed. 2d 78, 102 S. Ct. 940, as to which its authority is limited to enforcing the commands of the Constitution, e.g., *Mu'Min v. Virginia*, 500 U.S. 415, 422, 114 L. Ed. 2d 493, 111 S. Ct. 1899. The conclusion that *Miranda* is constitutionally based is also supported by the fact that that case is replete with statements indicating that the majority thought it was announcing a constitutional rule, see, e.g., 384 U.S. at 445. Although *Miranda* invited legislative action to protect the constitutional right against coerced self-incrimination, it stated that any legislative alternative must be "at least as effective in appraising accused persons of their right of silence and in assuring a continuous opportunity to exercise it." 384 U.S. at 467.

A contrary conclusion is not required by the fact that the Court has subsequently made exceptions from the *Miranda* rule, see, e.g., *New York v. Quarles*, 467 U.S. 649, 81 L. Ed. 2d 550, 104 S. Ct. 2626. No constitutional rule is immutable, and the sort of refinements made by such cases are merely a normal part of constitutional law. *Oregon v. Elstad*, 470 U.S. 298, 306, 84 L. Ed. 2d 222, 105 S. Ct. 1285 -- in which the Court, in refusing to apply the traditional "fruits" doctrine developed in *Fourth Amendment* cases, stated that *Miranda*'s exclusionary rule serves the *Fifth Amendment* and sweeps more broadly than that Amendment itself -- does not prove that *Miranda* is a nonconstitutional decision, but simply recognizes the fact that unreasonable searches under the *Fourth Amendment* are different from unwarned interrogation under the Fifth. Finally, although the Court agrees with the court-appointed *amicus curiae* that there are more remedies available for abusive police conduct than there were when *Miranda* was decided -- e.g., a suit under *Bivens v. Six Unknown Named Agents*, 403 U.S. 388, 29 L. Ed. 2d 619, 91 S. Ct. 1999 -- it does not agree that such additional measures supplement § 3501's protections sufficiently to create an adequate substitute for the *Miranda* warnings. *Miranda* requires procedures that will warn a suspect in custody of his right to remain silent and assure him that the exercise of that right will be honored, see, e.g., 384 U.S. at 467, while § 3501 explicitly eschews a requirement of preinterrogation warnings in favor of an approach that looks to the administration of such warnings as only one factor in determining the voluntariness of a suspect's confession. Section 3501, therefore, cannot be sustained if *Miranda* is to remain the law. Pp. 2-12.

(b) This Court declines to overrule *Miranda*. Whether or not this Court would agree with *Miranda*'s reasoning and its rule in the first instance, *stare decisis* weighs heavily against overruling it now. Even in constitutional cases, *stare decisis* carries such persuasive force that the Court has always required a departure from precedent to be supported by some special justification. E.g., *United States v. International Business Machines Corp.*, 517 U.S. 843, 856, 116 S. Ct. 1793, 135 L. Ed. 2d 124 (1996). There is no such justification here. *Miranda* has become embedded in routine police practice to the point where the warnings have become part of our national culture. See *Mitchell v. United States*, 526 U.S. 314, 331-332, 143 L. Ed. 2d 424, 119 S. Ct. 1307. While the Court has overruled its precedents when subsequent cases have undermined their doctrinal underpinnings, that has not happened to *Miranda*. If anything, subsequent cases have reduced *Miranda*'s impact on legitimate law enforcement while reaffirming the decision's core ruling. The rule's disadvantage is that it may result in a guilty defendant going free. But experience suggests that § 3501's totality-of-the-circumstances test is more diffi-

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cult than *Miranda* for officers to conform to, and for courts to apply consistently. See, e.g., *Haynes v. Washington*, 373 U.S. 503, 515, 10 L. Ed. 2d 513, 83 S. Ct. 1336. The requirement that *Miranda* warnings be given does not dispense with the voluntariness inquiry, but cases in which a defendant can make a colorable argument that a self-incriminating statement was compelled despite officers' adherence to *Miranda* are rare. Pp. 12-14.

166 F.3d 667, reversed.

**COUNSEL:** James W. Hundley argued the cause for petitioner.

Seth P. Waxman argued the cause for respondent.

**JUDGES:** REHNQUIST, C. J., delivered the opinion of the Court, in which STEVENS, O'CONNOR, KENNEDY, SOUTER, GINSBURG, and BREYER, JJ., joined. SCALIA, J., filed a dissenting opinion, in which THOMAS, J., joined.

#### OPINION BY: REHNQUIST

#### OPINION

[\*431] [\*\*\*411] [\*\*2329] CHIEF JUSTICE REHNQUIST delivered the opinion of the Court.

[\*\*\*LEdHR1A] [1A] [\*\*\*LEdHR2A] [2A] [\*\*\*LEdHR3] [3] [\*\*\*LEdHR4A] [4A] In *Miranda v. Arizona*, 384 U.S. 436, 16 L. Ed. 2d 694, 86 S. Ct. 1602 (1966), we held that [HN1] certain [\*\*\*412] warnings must be given before a suspect's statement made during custodial interrogation could be admitted in [\*432] evidence. In the wake of that decision, Congress enacted 18 U.S.C. § 3501, which in essence laid down a rule that the admissibility of such statements should turn only on whether or not they were voluntarily made. We hold that [HN2] *Miranda*, being a constitutional decision of this Court, may not be in effect overruled by an Act of Congress, and we decline to overrule *Miranda* ourselves. We therefore hold that [HN3] *Miranda* and its progeny in this Court govern the admissibility of statements [\*\*2330] made during custodial interrogation in both state and federal courts.

Petitioner Dickerson was indicted for bank robbery, conspiracy to commit bank robbery, and using a firearm in the course of committing a crime of violence, all in violation of the applicable provisions of Title 18 of the United States Code. Before trial, Dickerson moved to suppress a statement he had made at a Federal Bureau of Investigation field office, on the grounds that he had not received "*Miranda* warnings" before being interrogated. The District Court granted his motion to suppress, and

the Government took an interlocutory appeal to the United States Court of Appeals for the Fourth Circuit. That court, by a divided vote, reversed the District Court's suppression order. It agreed with the District Court's conclusion that petitioner had not received *Miranda* warnings before making his statement. But it went on to hold that § 3501, which in effect makes the admissibility of statements such as Dickerson's turn solely on whether they were made voluntarily, was satisfied in this case. It then concluded that our decision in *Miranda* was not a constitutional holding, and that therefore Congress could by statute have the final say on the question of admissibility. [United States v. Dickerson,] 166 F.3d 667 (1999).

Because of the importance of the questions raised by the Court of Appeals' decision, we granted certiorari, 528 U.S. 1045, 120 S. Ct. 578, 145 L. Ed. 2d 481 (1999), and now reverse.

We begin with a brief historical account of the law governing the admission of confessions. Prior to *Miranda*, we [\*433] evaluated the admissibility of a suspect's confession under a voluntariness test. The roots of this test developed in the common law, as the courts of England and then the United States recognized that coerced confessions are inherently untrustworthy. See, e.g., *King v. Rudd*, 1 Leach 115, 117-118, 122-123, 168 Eng. Rep. 160, 161, 164 (K. B. 1783) (Lord Mansfield, C. J.) (stating that the English courts excluded confessions obtained by threats and promises); *King v. Warickshall*, 1 Leach 262, 263-264, 168 Eng. Rep. 234, 235 (K. B. 1783) ("A free and voluntary confession is deserving of the highest credit, because it is presumed to flow from the strongest sense of guilt . . . but a confession forced from the mind by the flattery of hope, or by the torture of fear, comes in so questionable a shape . . . that no credit ought to be given to it; and therefore it is rejected"); *King v. Parratt*, 4 Car. & P. 570, 172 Eng. Rep. 829 (N. P. 1831); *Queen v. Garner*, 1 Den. C.C. 329, 169 Eng. Rep. 267 (Ct. Crim. App. 1848); *Queen v. Baldry*, 2 Den. C.C. 430, 169 Eng. Rep. 568 (Ct. Crim. App. 1852); *Hopt v. Territory of Utah*, 110 U.S. 574, 28 L. Ed. 262, 4 S. Ct. 202 (1884); *Pierce v. United States*, 160 U.S. 355, 357, 40 [\*\*\*413] L. Ed. 454, 16 S. Ct. 321 (1896). Over time, our cases recognized two constitutional bases for the requirement that a confession be voluntary to be admitted into evidence: the *Fifth Amendment* right against self-incrimination and the *Due Process Clause of the Fourteenth Amendment*. See, e.g., *Bram v. United States*, 168 U.S. 532, 542, 42 L. Ed. 568, 18 S. Ct. 183 (1897) (stating that the voluntariness test "is controlled by that portion of the *Fifth Amendment* . . . commanding that no person 'shall be compelled in any criminal case to be a witness against himself'"); *Brown v. Mississippi*, 297 U.S. 278, 80 L. Ed. 682, 56 S. Ct. 461 (1936) (reversing a

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criminal conviction under the Due Process Clause because it was based on a confession obtained by physical coercion).

While *Bram* was decided before *Brown* and its progeny, for the middle third of the 20th century our cases based the rule against admitting coerced confessions primarily, if not exclusively, on notions of due process. We applied the [\*434] due process voluntariness test in "some 30 different cases decided during the era that intervened between *Brown* and *Escobedo v. Illinois*, 378 U.S. 478, 12 L. Ed. 2d 977, 84 S. Ct. 1758 [(1964)]." *Schneckloth v. Bustamonte*, 412 U.S. 218, 223, 36 L. Ed. 2d 854, 93 [\*\*2331] S. Ct. 2041 (1973). See, e.g., *Haynes v. Washington*, 373 U.S. 503, 10 L. Ed. 2d 513, 83 S. Ct. 1336 (1963); *Ashcraft v. Tennessee*, 322 U.S. 143, 88 L. Ed. 1192, 64 S. Ct. 921 (1944); *Chambers v. Florida*, 309 U.S. 227, 84 L. Ed. 716, 60 S. Ct. 472 (1940). Those cases refined the test into an inquiry that examines "whether a defendant's will was overborne" by the circumstances surrounding the giving of a confession. *Schneckcloth*, 412 U.S. at 226. [HN4] The due process test takes into consideration "the totality of all the surrounding circumstances -- both the characteristics of the accused and the details of the interrogation." *Ibid*. See also, *Haynes*, *supra*, at 513; *Gallegos v. Colorado*, 370 U.S. 49, 55, 8 L. Ed. 2d 325, 82 S. Ct. 1209 (1962); *Reck v. Pate*, 367 U.S. 433, 440, 6 L. Ed. 2d 948, 81 S. Ct. 1541 (1961) ("All the circumstances attendant upon the confession must be taken into account"); *Malinski v. New York*, 324 U.S. 401, 404, 89 L. Ed. 1029, 65 S. Ct. 781 (1945) ("If all the attendant circumstances indicate that the confession was coerced or compelled, it may not be used to convict a defendant"). The determination "depends upon a weighing of the circumstances of pressure against the power of resistance of the person confessing." *Stein v. New York*, 346 U.S. 156, 185, 97 L. Ed. 1522, 73 S. Ct. 1077 (1953).

[\*\*\*LEdHR5A] [5A]We have never abandoned this due process jurisprudence, and thus [HN5] continue to exclude confessions that were obtained involuntarily. But our decisions in *Malloy v. Hogan*, 378 U.S. 1, 12 L. Ed. 2d 653, 84 S. Ct. 1489 (1964), and *Miranda* changed the focus of much of the inquiry in determining the admissibility of suspects' incriminating statements. In *Malloy*, we held that the *Fifth Amendment's Self-Incrimination Clause* is incorporated in the *Due Process Clause of the Fourteenth Amendment* and thus applies to the States. 378 U.S. at 6-11. We decided *Miranda* on the heels of *Malloy*.

In *Miranda*, we noted that the advent of modern custodial police interrogation brought with it an increased concern [\*435] about confessions [\*\*\*414] obtained by coercion. <sup>1</sup> 384 U.S. at 445-458. Because custodial

police interrogation, by its very nature, isolates and pressures the individual, we stated that "even without employing brutality, the 'third degree' or [other] specific stratagems, . . . custodial interrogation exacts a heavy toll on individual liberty and trades on the weakness of individuals." 384 U.S. at 455. We concluded that the coercion inherent in custodial interrogation blurs the line between voluntary and involuntary statements, and thus heightens the risk that an individual will not be "accorded his privilege under the *Fifth Amendment* . . . not to be compelled to incriminate himself." 384 U.S. at 439. Accordingly, we laid down "concrete constitutional guidelines for law enforcement agencies and courts to follow." 384 U.S. at 442. Those guidelines established that [HN6] the admissibility in evidence of any statement given during custodial interrogation of a suspect would depend on whether the police provided the suspect with four warnings. These warnings (which have come to be known colloquially as "*Miranda* rights") are: a suspect "has the right to remain silent, that anything he says can be used against him in a court of law, that he has the right to the presence of an attorney, and that if he cannot afford an attorney one will be appointed for him prior to any questioning if he so desires." 384 U.S. at 479.

1 While our cases have long interpreted the Due Process and *Self-Incrimination Clauses* to require that a suspect be accorded a fair trial free from coerced testimony, our application of those Clauses to the context of custodial police interrogation is relatively recent because the routine practice of such interrogation is itself a relatively new development. See, e.g., *Miranda*, 384 U.S. at 445-458.

Two years after *Miranda* was decided, Congress enacted § 3501. That section provides, in relevant part:

[\*\*2332] "(a) In any criminal prosecution brought by the United States or by the District of Columbia, a confession . . . shall be admissible in evidence if it is voluntarily given. Before such confession is received in evidence, the trial [\*436] judge shall, out of the presence of the jury, determine any issue as to voluntariness. If the trial judge determines that the confession was voluntarily made it shall be admitted in evidence and the trial judge shall permit the jury to hear relevant evidence on the issue of voluntariness and shall instruct the jury to give such weight to the confession as the jury feels it deserves under all the circumstances.

"(b) The trial judge in determining the issue of voluntariness shall take into consideration all the circumstances surrounding the giving of the confession, including (1) the time elapsing between arrest and arraignment of the defendant making the confession, if it was made after arrest and before arraignment, (2) whether such

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defendant knew the nature of the offense with which he was charged or of which he was suspected at the time of making the confession, (3) whether or not such defendant was advised or knew that he was not required to make any statement and that any such statement could be used against him, (4) whether or not such defendant had been advised prior to questioning [\*\*\*415] of his right to the assistance of counsel; and (5) whether or not such defendant was without the assistance of counsel when questioned and when giving such confession.

"The presence or absence of any of the above-mentioned factors to be taken into consideration by the judge need not be conclusive on the issue of voluntariness of the confession."

[\*\*\*LEdHR4B] [4B] Given § 3501's express designation of voluntariness as the touchstone of admissibility, its omission of any warning requirement, and the instruction for trial courts to consider a nonexclusive list of factors relevant to the circumstances of a confession, we agree with the Court of Appeals that Congress intended by its enactment to overrule *Miranda*. See also *Davis v. United States*, 512 U.S. 452, 464, 129 L. Ed. 2d 362, 114 S. Ct. 2350 (1994) (SCALIA, J., concurring) (stating that, prior to *Miranda*, [\*437] "voluntariness *vel non* was the touchstone of admissibility of confessions"). Because of the obvious conflict between our decision in *Miranda* and § 3501, we must address whether Congress has constitutional authority to thus supersede *Miranda*. If Congress has such authority, § 3501's totality-of-the-circumstances approach must prevail over *Miranda*'s requirement of warnings; if not, that section must yield to *Miranda*'s more specific requirements.

[\*\*\*LEdHR6] [6] The law in this area is clear. [HN7] This Court has supervisory authority over the federal courts, and we may use that authority to prescribe rules of evidence and procedure that are binding in those tribunals. *Carlisle v. United States*, 517 U.S. 416, 426, 134 L. Ed. 2d 613, 116 S. Ct. 1460 (1996). However, the power to judicially create and enforce nonconstitutional "rules of procedure and evidence for the federal courts exists only in the absence of a relevant Act of Congress." *Palermo v. United States*, 360 U.S. 343, 353, n. 11, 3 L. Ed. 2d 1287, 79 S. Ct. 1217 (1959) (citing *Funk v. United States*, 290 U.S. 371, 382, 78 L. Ed. 369, 54 S. Ct. 212 (1933), and *Gordon v. United States*, 344 U.S. 414, 418, 97 L. Ed. 447, 73 S. Ct. 369 (1953)). [HN8] Congress retains the ultimate authority to modify or set aside any judicially created rules of evidence and procedure that are not required by the Constitution. *Palermo*, 360 U.S. at 345-348; *Carlisle*, *supra*, at 426; *Vance v. Terrazas*, 444 U.S. 252, 265, 62 L. Ed. 2d 461, 100 S. Ct. 540 (1980).

[\*\*\*LEdHR7] [7] But [HN9] Congress may not legislatively supersede our decisions interpreting and applying the Constitution. See, e.g., *City of Boerne v. Flores*, 521 U.S. 507, 517-521, 138 L. Ed. 2d 624, [\*\*2333] 117 S. Ct. 2157 (1997). This case therefore turns on whether the *Miranda* Court announced a constitutional rule or merely exercised its supervisory authority to regulate evidence in the absence of congressional direction. Recognizing this point, the Court of Appeals surveyed *Miranda* and its progeny to determine the constitutional status of the *Miranda* decision. 166 F.3d at 687-692. Relying on the fact that we have created several exceptions to *Miranda*'s warnings requirement and that we have repeatedly referred to the *Miranda* warnings as "prophylactic," *New York v. Quarles*, 467 U.S. 649, 653, 81 L. Ed. 2d 550, 104 S. Ct. 2626 [\*438] (1984), and "not themselves rights protected by the Constitution," *Michigan* [\*\*\*416] *v. Tucker*, 417 U.S. 433, 444, 41 L. Ed. 2d 182, 94 S. Ct. 2357 (1974),<sup>2</sup> the Court of Appeals concluded that the protections announced in *Miranda* are not constitutionally required. 166 F.3d at 687-690.

2 See also *Davis v. United States*, 512 U.S. 452, 457-458, 129 L. Ed. 2d 362, 114 S. Ct. 2350 (1994); *Withrow v. Williams*, 507 U.S. 680, 690-691, 123 L. Ed. 2d 407, 113 S. Ct. 1745 (1993) ("*Miranda*'s safeguards are not constitutional in character"); *Duckworth v. Eagan*, 492 U.S. 195, 203, 106 L. Ed. 2d 166, 109 S. Ct. 2875 (1989); *Connecticut v. Barrett*, 479 U.S. 523, 528, 93 L. Ed. 2d 920, 107 S. Ct. 828 (1987) ("The *Miranda* Court adopted prophylactic rules designed to insulate the exercise of *Fifth Amendment* rights"); *Oregon v. Elstad*, 470 U.S. 298, 306, 84 L. Ed. 2d 222, 105 S. Ct. 1285 (1985); *Edwards v. Arizona*, 451 U.S. 477, 492, 68 L. Ed. 2d 378, 101 S. Ct. 1880 (1981) (Powell, J., concurring in result).

[\*\*\*LEdHR1B] [1B] [\*\*\*LEdHR8] [8] We disagree with the Court of Appeals' conclusion, although we concede that there is language in some of our opinions that supports the view taken by that court. But first and foremost of the factors on the other side -- that *Miranda* is a constitutional decision -- is that both *Miranda* and two of its companion cases applied the rule to proceedings in state courts -- to wit, Arizona, California, and New York. See 384 U.S. at 491-494, 497-499. Since that time, we have consistently applied *Miranda*'s rule to prosecutions arising in state courts. See, e.g., *Stansbury v. California*, 511 U.S. 318, 128 L. Ed. 2d 293, 114 S. Ct. 1526 (1994) (*per curiam*); *Minnick v. Mississippi*, 498 U.S. 146, 112 L. Ed. 2d 489, 111 S. Ct. 486 (1990); *Arizona v. Roberson*, 486 U.S. 675, 100 L. Ed. 2d 704, 108 S. Ct. 2093 (1988); *Edwards v. Arizona*, 451 U.S. 477, 481-482, 68 L. Ed. 2d 378, 101 S. Ct. 1880 (1981). It is be-

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yond dispute that we do not hold a supervisory power over the courts of the several States. *Smith v. Phillips*, 455 U.S. 209, 221, 71 L. Ed. 2d 78, 102 S. Ct. 940 (1982) ("[HN10] Federal courts hold no supervisory authority over state judicial proceedings and may intervene only to correct wrongs of constitutional dimension"); *Cicenia v. Lagay*, 357 U.S. 504, 508-509, 2 L. Ed. 2d 1523, 78 S. Ct. 1297 (1958). [HN11] With respect to proceedings in state courts, our "authority is limited to enforcing the commands of the United States Constitution." *Mu'Min v. Virginia*, 500 U.S. 415, 422, 114 L. Ed. 2d 493, 111 S. Ct. 1899 (1991). See also *Harris v. Rivera*, 454 U.S. 339, 344-345, 70 L. Ed. 2d 530, 102 S. Ct. 460 (1981) (*per curiam*) (stating that "federal judges may not require the observance [\*439] of any special procedures" in state courts "except when necessary to assure compliance with the dictates of the Federal Constitution").<sup>3</sup>

[\*\*\*LEdHRIC] [1C]

3 Our conclusion regarding *Miranda's* constitutional basis is further buttressed by the fact that we have allowed prisoners to bring alleged *Miranda* violations before the federal courts in habeas corpus proceedings. See *Thompson v. Keohane*, 516 U.S. 99, 133 L. Ed. 2d 383, 116 S. Ct. 457 (1995); *Withrow*, 507 U.S. at 690-695. [HN12] Habeas corpus proceedings are available only for claims that a person "is in custody in violation of the Constitution or laws or treaties of the United States." 28 U.S.C. § 2254(a). Since the *Miranda* rule is clearly not based on federal laws or treaties, our decision allowing habeas review for *Miranda* claims obviously assumes that *Miranda* is of constitutional origin.

[\*\*\*LEdHR1D] [1D]The *Miranda* opinion itself begins by stating that the Court granted certiorari "to explore some facets of the problems . . . of applying the privilege against self-incrimination to in-custody interrogation, [\*2334] and to give concrete constitutional guidelines for law enforcement agencies and courts to follow." 384 U.S. at 441-442 (emphasis added). [\*\*\*417] In fact, the majority opinion is replete with statements indicating that the majority thought it was announcing a constitutional rule.<sup>4</sup> Indeed, the Court's ultimate conclusion was that the [\*440] unwarned confessions obtained in the four cases before the Court in *Miranda* "were obtained from the defendant under circumstances that did not meet constitutional standards for protection of the privilege." 384 U.S. at 491. [\*\*\*LEdHR1E] [1E]

4 See 384 U.S. at 445 ("The constitutional issue we decide in each of these cases is the admissibility of statements obtained from a defendant ques-

tioned while in custody"), 457 (stating that the *Miranda* Court was concerned with "adequate safeguards to protect precious *Fifth Amendment* rights"), 458 (examining the "history and precedent underlying the *Self-Incrimination Clause* to determine its applicability in this situation"), 476 ("[HN13] The requirement of warnings and waiver of rights is . . . fundamental with respect to the *Fifth Amendment* privilege and not simply a preliminary ritual to existing methods of interrogation"), 479 ("The whole thrust of our foregoing discussion demonstrates that the Constitution has prescribed the rights of the individual when confronted with the power of government when it provided in the *Fifth Amendment* that an individual cannot be compelled to be a witness against himself"), 481, n. 52 (stating that the Court dealt with "constitutional standards in relation to statements made"), 490 ("The issues presented are of constitutional dimensions and must be determined by the courts"), 489 (stating that the *Miranda* Court was dealing "with rights grounded in a specific requirement of the *Fifth Amendment of the Constitution*").

5 Many of our subsequent cases have also referred to *Miranda's* constitutional underpinnings. See, e.g., *Withrow*, 507 U.S. at 691 ("Prophylactic' though it may be, in protecting a defendant's *Fifth Amendment* privilege against self-incrimination, *Miranda* safeguards a 'fundamental trial right'"); *Illinois v. Perkins*, 496 U.S. 292, 296, 110 L. Ed. 2d 243, 110 S. Ct. 2394 (1990) (describing *Miranda's* warning requirement as resting on "the *Fifth Amendment* privilege against self-incrimination"); *Butler v. McKellar*, 494 U.S. 407, 411, 108 L. Ed. 2d 347, 110 S. Ct. 1212 (1990) ("[HN14] The *Fifth Amendment* bars police-initiated interrogation following a suspect's request for counsel in the context of a separate investigation"); *Michigan v. Jackson*, 475 U.S. 625, 629, 89 L. Ed. 2d 631, 106 S. Ct. 1404 (1986) ("[HN15] The *Fifth Amendment* protection against compelled self-incrimination provides the right to counsel at custodial interrogations"); *Moran v. Burbine*, 475 U.S. 412, 427, 89 L. Ed. 2d 410, 106 S. Ct. 1135 (1986) (referring to *Miranda* as "our interpretation of the Federal Constitution"); *Edwards*, 451 U.S. at 481-482.

Additional support for our conclusion that *Miranda* is constitutionally based is found in the *Miranda* Court's invitation for legislative action to protect the constitutional right against coerced self-incrimination. After discussing the "compelling pressures" inherent in custodial police interrogation, the *Miranda* Court concluded that, "[HN16] in order to combat these pressures and to permit

a full opportunity to exercise the privilege against self-incrimination, the accused must be adequately and effectively apprised of his rights and the exercise of those rights must be fully honored." 384 U.S. at 467. However, the Court emphasized that it could not foresee "the potential alternatives for protecting the privilege which might be devised by Congress or the States," and it accordingly opined that the Constitution would not preclude legislative solutions that differed from the prescribed *Miranda* warnings but which were "at least as effective in apprising accused persons of their right of silence and in assuring a continuous opportunity to exercise it." <sup>6</sup> *Ibid.*

6 The Court of Appeals relied in part on our statement that the *Miranda* decision in no way "creates a 'constitutional straightjacket.'" See 166 F.3d at 672 (quoting *Miranda*, 384 U.S. at 467). However, a review of our opinion in *Miranda* clarifies that this disclaimer was intended to indicate that the Constitution does not require police to administer the particular *Miranda* warnings, not that the Constitution does not require a procedure that is effective in securing *Fifth Amendment* rights.

[\*441] [\*\*\*418] The Court of Appeals also relied on the fact that we have, after our *Miranda* [\*2335] decision, made exceptions from its rule in cases such as *New York v. Quarles*, 467 U.S. 649, 81 L. Ed. 2d 550, 104 S. Ct. 2626 (1984), and *Harris v. New York*, 401 U.S. 222, 28 L. Ed. 2d 1, 91 S. Ct. 643 (1971). See 166 F.3d at 672, 689-691. But we have also broadened the application of the *Miranda* doctrine in cases such as *Doyle v. Ohio*, 426 U.S. 610, 49 L. Ed. 2d 91, 96 S. Ct. 2240 (1976), and *Arizona v. Roberson*, 486 U.S. 675, 100 L. Ed. 2d 704, 108 S. Ct. 2093 (1988). These decisions illustrate the principle -- not that *Miranda* is not a constitutional rule -- but that no constitutional rule is immutable. No court laying down a general rule can possibly foresee the various circumstances in which counsel will seek to apply it, and the sort of modifications represented by these cases are as much a normal part of constitutional law as the original decision.

The Court of Appeals also noted that in *Oregon v. Elstad*, 470 U.S. 298, 84 L. Ed. 2d 222, 105 S. Ct. 1285 (1985), we stated that "'the *Miranda* exclusionary rule . . . serves the *Fifth Amendment* and sweeps more broadly than the *Fifth Amendment* itself.'" 166 F.3d at 690 (quoting *Elstad*, *supra*, at 306). Our decision in that case -- refusing to apply the traditional "fruits" doctrine developed in *Fourth Amendment* cases -- does not prove that *Miranda* is a nonconstitutional decision, but simply recognizes the fact that unreasonable searches under the

*Fourth Amendment* are different from unwarned interrogation under the *Fifth Amendment*.

[\*\*\*LEdHR4C] [4C]As an alternative argument for sustaining the Court of Appeals' decision, the court invited *amicus curiae* <sup>7</sup> contends that the section complies with the requirement that a legislative alternative to *Miranda* be equally as effective in preventing coerced confessions. See Brief for Paul G. Cassell [\*442] as *Amicus Curiae* 28-39. We agree with the *amicus*' contention that there are more remedies available for abusive police conduct than there were at the time *Miranda* was decided, see, e.g., *Wilkins v. May*, 872 F.2d 190, 194 (CA7 1989) (applying *Bivens v. Six Unknown Fed. Narcotics Agents*, 403 U.S. 388, 29 L. Ed. 2d 619, 91 S. Ct. 1999 (1971), to hold that a suspect may bring a federal cause of action under the Due Process Clause for police misconduct during custodial interrogation). But we do not agree that these additional measures supplement § 3501's protections sufficiently to meet the constitutional minimum. [HN17] *Miranda* requires procedures that will warn a suspect in custody of his right to remain silent and which will assure the suspect that the exercise of that right will be honored. See, e.g., 384 U.S. at 467. As discussed above, § 3501 explicitly eschews a requirement of pre-interrogation warnings in favor of an approach that looks to the [\*\*\*419] administration of such warnings as only one factor in determining the voluntariness of a suspect's confession. The additional remedies cited by *amicus* do not, in our view, render them, together with § 3501 an adequate substitute for the warnings required by *Miranda*.

7 Because no party to the underlying litigation argued in favor of § 3501's constitutionality in this Court, we invited Professor Paul Cassell to assist our deliberations by arguing in support of the judgment below.

The dissent argues that it is judicial overreaching for this Court to hold § 3501 unconstitutional unless we hold that the *Miranda* warnings are required by the Constitution, in the sense that nothing else will suffice to satisfy constitutional requirements. *Post*, at 10-11, 22-23. But we need not go farther than *Miranda* to decide this case. In *Miranda*, the Court noted that reliance on the traditional totality-of-the-circumstances test raised a risk of overlooking an involuntary custodial confession, 384 U.S. at 457, a risk that the Court found unacceptably great when the confession is offered in the case in chief to prove guilt. The Court therefore concluded that something more [\*2336] than the totality test was necessary. See *ibid.*; see also 384 U.S. at 467, 490-491. As discussed above, § 3501 reinstates the totality test as [\*443] sufficient. *Section 3501* therefore cannot be sustained if *Miranda* is to remain the law.

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[\*\*\*LEdHR2B] [2B] [\*\*\*LEdHR9] [9]Whether or not we would agree with *Miranda's* reasoning and its resulting rule, were we addressing the issue in the first instance, the principles of *stare decisis* weigh heavily against overruling it now. See, e.g., *Rhode Island v. Innis*, 446 U.S. 291, 304, 64 L. Ed. 2d 297, 100 S. Ct. 1682 (1980) (Burger, C. J., concurring in judgment) ("The meaning of *Miranda* has become reasonably clear and law enforcement practices have adjusted to its strictures; I would neither overrule *Miranda*, disparage it, nor extend it at this late date"). [HN18] While "*stare decisis* is not an inexorable command," *State Oil Co. v. Khan*, 522 U.S. 3, 20, 139 L. Ed. 2d 199, 118 S. Ct. 275 (1997) (quoting *Payne v. Tennessee*, 501 U.S. 808, 828, 115 L. Ed. 2d 720, 111 S. Ct. 2597 (1991)), particularly when we are interpreting the Constitution, *Agostini v. Felton*, 521 U.S. 203, 235, 138 L. Ed. 2d 391, 117 S. Ct. 1997 (1997), "even in constitutional cases, the doctrine carries such persuasive force that we have always required a departure from precedent to be supported by some 'special justification.'" *United States v. International Business Machines Corp.*, 517 U.S. 843, 856, 116 S. Ct. 1793, 135 L. Ed. 2d 124 (1996) (quoting *Payne*, *supra*, at 842 (SOUTER, J., concurring) (in turn quoting *Arizona v. Rumsey*, 467 U.S. 203, 212, 81 L. Ed. 2d 164, 104 S. Ct. 2305 (1984))).

[\*\*\*LEdHR2C] [2C]We do not think there is such justification for overruling *Miranda*. *Miranda* has become embedded in routine police practice to the point where the warnings have become part of our national culture. See *Mitchell v. United States*, 526 U.S. 314, 331-332, 143 L. Ed. 2d 424, 119 S. Ct. 1307 (1999) (SCALIA, J., dissenting) (stating that the fact that a rule has found "wide acceptance in the legal culture" is "adequate reason not to overrule" it). While we have overruled our precedents when subsequent cases have undermined their doctrinal underpinnings, see, e.g., *Patterson v. McLean Credit Union*, 491 U.S. 164, 173, 105 L. Ed. 2d 132, 109 S. Ct. 2363 (1989), we do not believe that this has happened to the [\*\*\*420] *Miranda* decision. If anything, our subsequent cases have reduced the impact of the *Miranda* rule on legitimate law enforcement while reaffirming the decision's core ruling that unwarned [\*444] statements may not be used as evidence in the prosecution's case in chief.

[\*\*\*LEdHR2D] [2D] [\*\*\*LEdHR5B] [5B]The disadvantage of the *Miranda* rule is that statements which may be by no means involuntary, made by a defendant who is aware of his "rights," may nonetheless be excluded and a guilty defendant go free as a result. But experience suggests that the totality-of-the-circumstances test which § 3501 seeks to revive is more difficult than *Miranda* for

law enforcement officers to conform to, and for courts to apply in a consistent manner. See, e.g., *Haynes v. Washington*, 373 U.S. at 515 ("The line between proper and permissible police conduct and techniques and methods offensive to due process is, at best, a difficult one to draw"). The requirement that *Miranda* warnings be given does not, of course, dispense with the voluntariness inquiry. But as we said in *Berkemer v. McCarty*, 468 U.S. 420, 82 L. Ed. 2d 317, 104 S. Ct. 3138 (1984), "cases in which a defendant can make a colorable argument that a self-incriminating statement was 'compelled' despite the fact that the law enforcement authorities adhered to the dictates of *Miranda* are rare." 468 U.S. at 433, n. 20.

[\*\*\*LEdHR1F] [1F] [\*\*\*LEdHR2E] [2E]In sum, we conclude that *Miranda* announced a constitutional rule that Congress may not supersede legislatively. Following the rule of *stare decisis*, we decline to overrule *Miranda* ourselves. \* [\*\*\*2337] The judgment of the Court of Appeals is therefore

*Reversed.*

8 Various other contentions and suggestions have been pressed by the numerous *amici*, but because of the procedural posture of this case we do not think it appropriate to consider them. See *United Parcel Service, Inc. v. Mitchell*, 451 U.S. 56, 60, n. 2, 67 L. Ed. 2d 732, 101 S. Ct. 1559 (1981); *Bell v. Wolfish*, 441 U.S. 520, 531-532, n. 13, 60 L. Ed. 2d 447, 99 S. Ct. 1861 (1979); *Knetsch v. United States*, 364 U.S. 361, 370, 5 L. Ed. 2d 128, 81 S. Ct. 132 (1960).

#### DISSENT BY: SCALIA

#### DISSENT

JUSTICE SCALIA, with whom JUSTICE THOMAS joins, dissenting.

Those to whom judicial decisions are an unconnected series of judgments that produce either favored or disfavored [\*445] results will doubtless greet today's decision as a paragon of moderation, since it declines to overrule *Miranda v. Arizona*, 384 U.S. 436, 16 L. Ed. 2d 694, 86 S. Ct. 1602 (1966). Those who understand the judicial process will appreciate that today's decision is not a reaffirmation of *Miranda*, but a radical revision of the most significant element of *Miranda* (as of all cases): the rationale that gives it a permanent place in our jurisprudence.

*Marbury v. Madison*, 5 U.S. 137, 1 Cranch 137, 2 L. Ed. 60 (1803), held that an Act of Congress will not be enforced by the courts if what it prescribes violates the Constitution of the United States. That was the basis on

which *Miranda* was decided. One will search today's opinion in vain, however, for a statement (surely simple enough to make) that what 18 U.S.C. § 3501 prescribes -- the use at trial of a voluntary confession, even when a *Miranda* warning or its equivalent has [\*\*\*421] failed to be given -- violates the Constitution. The reason the statement does not appear is not only (and perhaps not so much) that it would be absurd, inasmuch as § 3501 excludes from trial precisely what the Constitution excludes from trial, viz., compelled confessions; but also that Justices whose votes are needed to compose today's majority are on record as believing that a violation of *Miranda* is not a violation of the Constitution. See *Davis v. United States*, 512 U.S. 452, 457-458, 129 L. Ed. 2d 362, 114 S. Ct. 2350 (1994) (opinion of the Court, in which KENNEDY, J., joined); *Duckworth v. Eagan*, 492 U.S. 195, 203, 106 L. Ed. 2d 166, 109 S. Ct. 2875 (1989) (opinion of the Court, in which KENNEDY, J., joined); *Oregon v. Elstad*, 470 U.S. 298, 84 L. Ed. 2d 222, 105 S. Ct. 1285 (1985) (opinion of the Court by O'CONNOR, J.); *New York v. Quarles*, 467 U.S. 649, 81 L. Ed. 2d 550, 104 S. Ct. 2626 (1984) (opinion of the Court by REHNQUIST, J.). And so, to justify today's agreed-upon result, the Court must adopt a significant *new*, if not entirely comprehensible, principle of constitutional law. As the Court chooses to describe that principle, statutes of Congress can be disregarded, not only when what they prescribe violates the Constitution, but when what they prescribe contradicts a decision of this Court that "announced a constitutional rule," *ante*, at 7. As I shall discuss in some [\*446] detail, the only thing that can possibly mean in the context of this case is that this Court has the power, not merely to apply the Constitution but to expand it, imposing what it regards as useful "prophylactic" restrictions upon Congress and the States. That is an immense and frightening antidemocratic power, and it does not exist.

It takes only a small step to bring today's opinion out of the realm of power-judging and into the mainstream of legal reasoning: The Court need only go beyond its carefully couched iterations that "*Miranda* is a constitutional decision," *ante*, at 8, that "*Miranda* is constitutionally based," *ante*, at 10, that *Miranda* has "constitutional underpinnings," *ante*, at 10, n. 5, and come out and say quite clearly: "We reaffirm today that custodial interrogation that is not preceded by *Miranda* warnings or their equivalent violates the Constitution of the United States." It cannot say that, because a majority of the Court does not believe it. [\*\*2338] The Court therefore acts in plain violation of the Constitution when it denies effect to this Act of Congress.

## I

Early in this Nation's history, this Court established the sound proposition that constitutional government in a

system of separated powers requires judges to regard as inoperative any legislative act, even of Congress itself, that is "repugnant to the Constitution."

"So if a law be in opposition to the constitution; if both the law and the constitution apply to a particular case, so that the court must either decide that case conformably to the law, disregarding the constitution; or conformably to the constitution, disregarding the law; the court must determine which of these conflicting rules governs the case." *Marbury, supra*, at 178.

[\*\*\*422] The power we recognized in *Marbury* will thus permit us, indeed require us, to "disregard" § 3501, a duly enacted [\*447] statute governing the admissibility of evidence in the federal courts, only if it "be in opposition to the constitution" -- here, assertedly, the dictates of the *Fifth Amendment*.

It was once possible to characterize the so-called *Miranda* rule as resting (however implausibly) upon the proposition that what the statute here before us permits -- the admission at trial of un-*Mirandized* confessions -- violates the Constitution. That is the fairest reading of the *Miranda* case itself. The Court began by announcing that the *Fifth Amendment* privilege against self-incrimination applied in the context of extrajudicial custodial interrogation, see 384 U.S. at 460-467 -- itself a doubtful proposition as a matter both of history and precedent, see 384 U.S. at 510-511 (Harlan, J., dissenting) (characterizing the Court's conclusion that the *Fifth Amendment* privilege, rather than the Due Process Clause, governed stationhouse confessions as a "*trompe l'oeil*"). Having extended the privilege into the confines of the station house, the Court liberally sprinkled throughout its sprawling 60-page opinion suggestions that, because of the compulsion inherent in custodial interrogation, the privilege was violated by any statement thus obtained that did not conform to the rules set forth in *Miranda*, or some functional equivalent. See 384 U.S. at 458 ("Unless adequate protective devices are employed to dispel the compulsion *inherent* in custodial surroundings, *no* statement obtained from the defendant can truly be the product of his free choice") (emphases added); 384 U.S. at 461 ("An individual swept from familiar surroundings into police custody, surrounded by antagonistic forces, and subjected to the techniques of persuasion described above cannot be otherwise than under compulsion to speak"); 384 U.S. at 467 ("We have concluded that without proper safeguards the process of in-custody interrogation . . . contains inherently compelling pressures which work to undermine the individual's will to resist and to compel him to speak where he would not otherwise do so freely"); 384 U.S. at 457, n. 26 (noting [\*448] the "absurdity of denying that a confession obtained under these circumstances is compelled").

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The dissenters, for their part, also understood *Miranda's* holding to be based on the "premise . . . that pressure on the suspect must be eliminated though it be only the subtle influence of the atmosphere and surroundings." 384 U.S. at 512 (Harlan, J., dissenting). See also 384 U.S. at 535 (White, J., dissenting) ("It has never been suggested, until today, that such questioning was so coercive and accused persons so lacking in hardihood that the very first response to the very first question following the commencement of custody must be conclusively presumed to be the product of an overborne will"). And at least one case decided shortly after *Miranda* explicitly confirmed

the view. See *Orozco v. Texas*, [\*\*2339] 394 U.S. 324, 326, 22 L. Ed. 2d 311, 89 S. Ct. 1095 (1969) ("The use of these admissions obtained in the absence of the required warnings was a flat violation of the [\*\*\*423] Self-Incrimination Clause of the Fifth Amendment as construed in *Miranda*").

So understood, *Miranda* was objectionable for innumerable reasons, not least the fact that cases spanning more than 70 years had rejected its core premise that, absent the warnings and an effective waiver of the right to remain silent and of the (hitherto unknown) right to have an attorney present, a statement obtained pursuant to custodial interrogation was necessarily the product of compulsion. See *Crooker v. California*, 357 U.S. 433, 2 L. Ed. 2d 1448, 78 S. Ct. 1287 (1958) (confession not involuntary despite denial of access to counsel); *Cicenia v. Lagay*, 357 U.S. 504, 2 L. Ed. 2d 1523, 78 S. Ct. 1297 (1958) (same); *Powers v. United States*, 223 U.S. 303, 56 L. Ed. 448, 32 S. Ct. 281 (1912) (lack of warnings and counsel did not render statement before United States Commissioner involuntary); *Wilson v. United States*, 162 U.S. 613, 40 L. Ed. 1090, 16 S. Ct. 895 (1896) (same). Moreover, history and precedent aside, the decision in *Miranda*, if read as an explication of what the Constitution requires, is preposterous. There is, for example, simply no basis in reason for concluding that a response to the very first question asked, by a suspect who already knows all of the rights described [\*449] in the *Miranda* warning, is anything other than a volitional act. See *Miranda*, 384 U.S. at 533-534 (White, J., dissenting). And even if one assumes that the elimination of compulsion absolutely requires informing even the most knowledgeable suspect of his right to remain silent, it cannot conceivably require the right to have counsel present. There is a world of difference, which the Court recognized under the traditional voluntariness test but ignored in *Miranda*, between compelling a suspect to incriminate himself and preventing him from foolishly doing so of his own accord. Only the latter (which is not required by the Constitution) could explain the Court's inclusion of a right to counsel and the requirement that it, too, be

knowingly and intelligently waived. Counsel's presence is not required to tell the suspect that he *need* not speak; the interrogators can do that. The only good reason for having counsel there is that he can be counted on to advise the suspect that he *should* not speak. See *Watts v. Indiana*, 338 U.S. 49, 59, 93 L. Ed. 1801, 69 S. Ct. 1347 (1949) (Jackson, J., concurring in result in part and dissenting in part) ("Any lawyer worth his salt will tell the suspect in no uncertain terms to make no statement to police under any circumstances").

Preventing foolish (rather than compelled) confessions is likewise the only conceivable basis for the rules (suggested in *Miranda*, see 384 U.S. at 444-445, 473-474), that courts must exclude any confession elicited by questioning conducted, without interruption, after the suspect has indicated a desire to stand on his right to remain silent, see *Michigan v. Mosley*, 423 U.S. 96, 105-106, 46 L. Ed. 2d 313, 96 S. Ct. 321 (1975), or initiated by police after the suspect has expressed a desire to have counsel present, see *Edwards v. Arizona*, 451 U.S. 477, 484-485, 68 L. Ed. 2d 378, 101 S. Ct. 1880 (1981). Nonthreatening attempts to persuade the suspect to reconsider that initial decision are not, without more, enough to render a change of heart the product of [\*\*\*424] anything other than the suspect's free will. Thus, what is most remarkable about the *Miranda* decision -- and what [\*450] made it unacceptable as a matter of straightforward constitutional interpretation in the *Marbury* tradition -- is its palpable hostility toward the act of confession *per se*, rather than toward what the Constitution abhors, *compelled* confession. See *United States v. Washington*, 431 U.S. 181, 187, 52 L. Ed. 2d 238, 97 S. Ct. 1814 (1977) ("Far from being prohibited by the Constitution, admissions of guilt by wrongdoers, if not coerced, are inherently desirable"). The [\*\*2340] Constitution is not, unlike the *Miranda* majority, offended by a criminal's commendable qualm of conscience or fortunate fit of stupidity. Cf. *Minnick v. Mississippi*, 498 U.S. 146, 166-167, 112 L. Ed. 2d 489, 111 S. Ct. 486 (1990) (SCALIA, J., dissenting).

For these reasons, and others more than adequately developed in the *Miranda* dissents and in the subsequent works of the decision's many critics, any conclusion that a violation of the *Miranda* rules necessarily amounts to a violation of the privilege against compelled self-incrimination can claim no support in history, precedent, or common sense, and as a result would at least presumptively be worth reconsidering even at this late date. But that is unnecessary, since the Court has (thankfully) long since abandoned the notion that failure to comply with *Miranda's* rules is itself a violation of the Constitution.

## II

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As the Court today acknowledges, since *Miranda* we have explicitly, and repeatedly, interpreted that decision as having announced, not the circumstances in which custodial interrogation runs afoul of the *Fifth* or *Fourteenth Amendment*, but rather only "prophylactic" rules that go beyond the right against compelled self-incrimination. Of course the seeds of this "prophylactic" interpretation of *Miranda* were present in the decision itself. See *Miranda, supra*, at 439 (discussing the "necessity for procedures which assure that the [suspect] is accorded his privilege"); 384 U.S. at 447 ("unless a proper limitation upon custodial interrogation is achieved -- such as these decisions will advance -- there can be no assurance [\*451] that practices of this nature will be eradicated"); 384 U.S. at 457 ("in these cases, we might not find the defendants' statements to have been involuntary in traditional terms"); *ibid.* (noting "concern for adequate safeguards to protect precious *Fifth Amendment* rights" and the "potentiality for compulsion" in Ernesto Miranda's interrogation). In subsequent cases, the seeds have sprouted and borne fruit: The Court has squarely concluded that it is possible -- indeed not uncommon -- for the police to violate *Miranda* without also violating the Constitution.

*Michigan v. Tucker*, 417 U.S. 433, 41 L. Ed. 2d 182, 94 S. Ct. 2357 (1974), an opinion for the Court written by then-JUSTICE REHNQUIST, rejected the true-to-*Marbury*, failure-to-warn-as-constitutional-violation interpretation of *Miranda*. It held that exclusion of the "fruits" of a *Miranda* violation -- the statement of a witness whose identity the defendant had revealed while in custody -- was not required. The opinion explained that the question whether the "police [\*\*\*425] conduct complained of directly infringed upon respondent's right against compulsory self-incrimination" was a "separate question" from "whether it instead violated only the prophylactic rules developed to protect that right." 417 U.S. at 439. The "procedural safeguards" adopted in *Miranda*, the Court said, "were not themselves rights protected by the Constitution but were instead measures to insure that the right against compulsory self-incrimination was protected," and to "provide practical reinforcement for the right," 417 U.S. at 444. Comparing the particular facts of the custodial interrogation with the "historical circumstances underlying the privilege," *ibid.*, the Court concluded, unequivocally, that the defendant's statement could not be termed "involuntary as that term has been defined in the decisions of this Court," 417 U.S. at 445, and thus that there had been no constitutional violation, notwithstanding the clear violation of the "procedural rules later established in *Miranda*," *ibid.* Lest there be any confusion on the point, the Court reiterated that the "police conduct at [\*452] issue here did not abridge respondent's constitutional privilege against compulsory self-incrimination, but departed only from the prophylac-

tic standards later laid down by [\*\*2341] this Court in *Miranda* to safeguard that privilege." 417 U.S. at 446. It is clear from our cases, of course, that if the statement in *Tucker* had been obtained in violation of the *Fifth Amendment*, the statement and its fruits would have been excluded. See *Nix v. Williams*, 467 U.S. 431, 442, 81 L. Ed. 2d 377, 104 S. Ct. 2501 (1984).

The next year, in *Oregon v. Hass*, 420 U.S. 714, 43 L. Ed. 2d 570, 95 S. Ct. 1215 (1975), the Court held that a defendant's statement taken in violation of *Miranda* that was nonetheless *voluntary* could be used at trial for impeachment purposes. This holding turned upon the recognition that violation of *Miranda* is not unconstitutional compulsion, since statements obtained in actual violation of the privilege against compelled self-incrimination, "as opposed to . . . taken in violation of *Miranda*," quite simply "may not be put to any testimonial use whatever against [the defendant] in a criminal trial," including as impeachment evidence. *New Jersey v. Portash*, 440 U.S. 450, 459, 59 L. Ed. 2d 501, 99 S. Ct. 1292 (1979). See also *Mincey v. Arizona*, 437 U.S. 385, 397-398, 57 L. Ed. 2d 290, 98 S. Ct. 2408 (1978) (holding that while statements obtained in violation of *Miranda* may be used for impeachment if otherwise trustworthy, the Constitution prohibits "any criminal trial use against a defendant of his *involuntary* statement").

Nearly a decade later, in *New York v. Quarles*, 467 U.S. 649, 81 L. Ed. 2d 550, 104 S. Ct. 2626 (1984), the Court relied upon the fact that "the prophylactic *Miranda* warnings . . . are 'not themselves rights protected by the Constitution,'" 467 U.S. at 654 (quoting *Tucker*, 417 U.S. at 444), to create a "public safety" exception. In that case, police apprehended, after a chase in a grocery store, a rape suspect known to be carrying a gun. After handcuffing and searching him (and finding no gun) -- but before reading him his *Miranda* warnings -- the police demanded [\*\*\*426] to know where the gun was. The defendant nodded in the direction of some empty cartons and responded that "the gun is over there." The Court held that both the unwarned [\*453] statement -- "the gun is over there" -- and the recovered weapon were admissible in the prosecution's case in chief under a "public safety exception" to the "prophylactic rules enunciated in *Miranda*." 467 U.S. at 653. It explicitly acknowledged that if the *Miranda* warnings were an imperative of the *Fifth Amendment* itself, such an exigency exception would be impossible, since the *Fifth Amendment's* bar on compelled self-incrimination is absolute, and its "strictures, unlike the Fourth's are not removed by showing reasonableness," 467 U.S. at 653, n. 3. (For the latter reason, the Court found it necessary to note that respondent did not "claim that [his] statements were actually compelled by police conduct which overcame his will to resist," *id.* at 654.)

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The next year, the Court again declined to apply the "fruit of the poisonous tree" doctrine to a *Miranda* violation, this time allowing the admission of a suspect's properly warned statement even though it had been preceded (and, arguably, induced) by an earlier inculpatory statement taken in violation of *Miranda*. *Oregon v. Elstad*, 470 U.S. 298, 84 L. Ed. 2d 222, 105 S. Ct. 1285 (1985). As in *Tucker*, the Court distinguished the case from those holding that a confession obtained as a result of an unconstitutional search is inadmissible, on the ground that the violation of *Miranda* does not involve an "actual infringement of the suspect's constitutional rights," 470 U.S. at 308. *Miranda*, the Court explained, "sweeps more broadly than the *Fifth Amendment* itself," and "*Miranda*'s preventive medicine provides a remedy even to the defendant who has suffered no identifiable constitutional harm." 470 U.S. at 307. "Errors [that] are made by law enforcement officers in administering the prophylactic *Miranda* procedures . . . should not breed the same irremediable consequences as police infringement of the [\*2342] *Fifth Amendment* itself." 470 U.S. at 308-309.

In light of these cases, and our statements to the same effect in others, see, e.g., *Davis v. United States*, 512 U.S. at 457-458; *Withrow v. Williams*, 507 U.S. 680, 690-691, 123 L. Ed. 2d 407, 113 S. Ct. 1745 (1993); [\*454] *Eagan*, 492 U.S. at 203, it is simply no longer possible for the Court to conclude, even if it wanted to, that a violation of *Miranda*'s rules is a violation of the Constitution. But as I explained at the outset, that is what is required before the Court may disregard a law of Congress governing the admissibility of evidence in federal court. The Court today insists that the *decision* in *Miranda* is a "constitutional" one, *ante*, at 1, 8; that it has "constitutional underpinnings", *ante*, at 10, n. 5; a "constitutional basis" and a "constitutional origin", *ante*, at 9, n. 3; that it was "constitutionally based", *ante*, at 10; and that it announced a "constitutional rule," *ante*, at 7, 9, 11, 14. [\*\*\*427] It is fine to play these word games; but what makes a decision "constitutional" in the only sense relevant here -- in the sense that renders it impervious to supersession by congressional legislation such as § 3501 -- is the determination that the Constitution *requires* the result that the decision announces and the statute ignores. By disregarding congressional action that concededly does not violate the Constitution, the Court flagrantly offends fundamental principles of separation of powers, and arrogates to itself prerogatives reserved to the representatives of the people.

The Court seeks to avoid this conclusion in two ways: First, by misdescribing these post-*Miranda* cases as mere dicta. The Court concedes only "that there is language in some of our opinions that supports the view" that *Miranda*'s protections are not "constitutionally re-

quired." *Ante*, at 8. It is not a matter of *language*; it is a matter of *holdings*. The proposition that failure to comply with *Miranda*'s rules does not establish a constitutional violation was central to the *holdings* of *Tucker*, *Hass*, *Quarles*, and *Elstad*.

The second way the Court seeks to avoid the impact of these cases is simply to disclaim responsibility for reasoned decisionmaking. It says:

"These decisions illustrate the principle -- not that *Miranda* is not a constitutional rule -- but that no constitutional rule is immutable. No court laying down a general [\*455] rule can possibly foresee the various circumstances in which counsel will seek to apply it, and the sort of modifications represented by these cases are as much a normal part of constitutional law as the original decision." *Ante*, at 11.

The issue, however, is not whether court rules are "mutable"; they assuredly are. It is not whether, in the light of "various circumstances," they can be "modified"; they assuredly can. The issue is whether, *as mutated and modified*, they must *make sense*. The requirement that they do so is the only thing that prevents this Court from being some sort of nine-headed Caesar, giving thumbs-up or thumbs-down to whatever outcome, case by case, suits or offends its collective fancy. And if confessions procured in violation of *Miranda* are confessions "compelled" in violation of the Constitution, the post-*Miranda* decisions I have discussed do not make sense. The only reasoned basis for their outcome was that a violation of *Miranda* is *not* a violation of the Constitution. If, for example, as the Court acknowledges was the holding of *Elstad*, "the traditional 'fruits' doctrine developed in *Fourth Amendment* cases" (that the fruits of evidence obtained unconstitutionally must be excluded from trial) does *not* apply to the fruits of *Miranda* violations, *ante*, at 11; and if the reason for the difference is *not* that *Miranda* violations are not constitutional violations (which is plainly and flatly what *Elstad* said); then the Court must come up with some *other* explanation for the difference. [\*2343] (That will take quite a bit of doing, by the way, since it is *not* clear on the face of the *Fourth Amendment* that evidence obtained in violation of that guarantee must be excluded from trial, whereas it *is* clear on the face of the *Fifth Amendment* that unconstitutionally compelled confessions [\*\*\*428] cannot be used.) To say simply that "unreasonable searches under the *Fourth Amendment* are different from unwarned interrogation under the *Fifth Amendment*," *ante*, at 11-12, is true but supremely unhelpful.

[\*456] Finally, the Court asserts that *Miranda* must be a "constitutional decision" announcing a "constitutional rule," and thus immune to congressional modification, because we have since its inception applied it to the

States. If this argument is meant as an invocation of *stare decisis*, it fails because, though it is true that our cases applying *Miranda* against the States must be reconsidered if *Miranda* is not required by the Constitution, it is likewise true that our cases (discussed above) based on the principle that *Miranda* is *not* required by the Constitution will have to be reconsidered if it *is*. So the *stare decisis* argument is a wash. If, on the other hand, the argument is meant as an appeal to logic rather than *stare decisis*, it is a classic example of begging the question: Congress's attempt to set aside *Miranda*, since it represents an assertion that violation of *Miranda* is not a violation of the Constitution, *also* represents an assertion that the Court has no power to impose *Miranda* on the States. To answer this assertion -- not by showing why violation of *Miranda* is a violation of the Constitution -- but by asserting that *Miranda* *does* apply against the States, is to assume precisely the point at issue. In my view, our continued application of the *Miranda* code to the States despite our consistent statements that running afoul of its dictates does not necessarily -- or even usually -- result in an actual constitutional violation, represents not the source of *Miranda*'s salvation but rather evidence of its ultimate illegitimacy. See generally J. Grano, Confessions, Truth, and the Law 173-198 (1993); Grano, Prophylactic Rules in Criminal Procedure: A Question of Article III Legitimacy, 80 *Nw. U. L. Rev.* 100 (1985). As JUSTICE STEVENS has elsewhere explained, "this Court's power to require state courts to exclude probative self-incriminatory statements rests entirely on the premise that the use of such evidence violates the Federal Constitution. . . . If the Court does not accept that premise, it must regard the holding in the *Miranda* case itself, as well as all of the federal jurisprudence that has [\*457] evolved from that decision, as nothing more than an illegitimate exercise of raw judicial power." *Elstad*, 470 U.S. at 370 (dissenting opinion). Quite so.

### III

There was available to the Court a means of reconciling the established proposition that a violation of *Miranda* does not itself offend the *Fifth Amendment* with the Court's assertion of a right to ignore the present statute. That means of reconciliation was argued strenuously by both petitioner and the United States, who were evidently more concerned than the Court is with maintaining the coherence of our jurisprudence. It is not mentioned in the Court's opinion because, I assume, a majority of the Justices intent on reversing believes that incoherence is the lesser evil. They may be right.

Petitioner and the United States contend that there is nothing at all exceptional, much less unconstitutional, [\*\*\*429] about the Court's adopting prophylactic rules to buttress constitutional rights, and enforcing them

against Congress and the States. Indeed, the United States argues that "prophylactic rules are now and have been for many years a feature of this Court's constitutional adjudication." Brief for United States 47. That statement is not wholly inaccurate, if by "many years" one means since the mid-1960's. However, in their zeal to validate what is in my [\*\*\*2344] view a lawless practice, the United States and petitioner greatly overstate the frequency with which we have engaged in it. For instance, petitioner cites several cases in which the Court quite simply exercised its traditional judicial power to define the scope of constitutional protections and, relatedly, the circumstances in which they are violated. See *Loretto v. Teleprompter Manhattan CATV Corp.*, 458 U.S. 419, 436-437, 73 L. Ed. 2d 868, 102 S. Ct. 3164 (1982) (holding that a permanent physical occupation constitutes a *per se* taking); *Maine v. Moulton*, 474 U.S. 159, 176, 88 L. Ed. 2d 481, 106 S. Ct. 477 (1985) (holding that the *Sixth Amendment* right to the assistance [\*458] of counsel is *actually* "violated when the State obtains incriminating statements by knowingly circumventing the accused's right to have counsel present in a confrontation between the accused and a state agent").

Similarly unsupportive of the supposed practice is *Bruton v. United States*, 391 U.S. 123, 20 L. Ed. 2d 476, 88 S. Ct. 1620 (1968), where we concluded that the *Confrontation Clause* of the *Sixth Amendment* forbids the admission of a nontestifying co-defendant's facially incriminating confession in a joint trial, even where the jury has been given a limiting instruction. That decision was based, not upon the theory that this was desirable protection "beyond" what the *Confrontation Clause* technically required; but rather upon the self-evident proposition that the inability to cross-examine an available witness whose damaging out-of-court testimony is introduced violates the *Confrontation Clause*, combined with the conclusion that in these circumstances a mere jury instruction can never be relied upon to prevent the testimony from being damaging, see *Richardson v. Marsh*, 481 U.S. 200, 207-208, 95 L. Ed. 2d 176, 107 S. Ct. 1702 (1987).

The United States also relies on our cases involving the question whether a State's procedure for appointed counsel's withdrawal of representation on appeal satisfies the State's constitutional obligation to "afford adequate and effective appellate review to indigent defendants." *Smith v. Robbins*, 528 U.S. 259, 276, 120 S. Ct. 746, 145 L. Ed. 2d 756 (2000) (quoting *Griffin v. Illinois*, 351 U.S. 12, 20, 100 L. Ed. 891, 76 S. Ct. 585 (1956)). In *Anders v. California*, 386 U.S. 738, 18 L. Ed. 2d 493, 87 S. Ct. 1396 (1967), we concluded that California's procedure governing withdrawal fell short of the constitutional minimum, and we outlined a procedure that *would* meet that standard. But as we made clear earlier this Term in

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*Smith*, which upheld a procedure *different* from the one *Anders* suggested, the benchmark of constitutionality is the constitutional requirement of adequate representation, and not some excrescence upon that requirement decreed, for safety's sake, by this Court.

[\*459] In a footnote, the United States directs our attention to certain overprotective *First Amendment* rules [\*\*\*430] that we have adopted to ensure "breathing space" for expression. See *Gertz v. Robert Welch, Inc.*, 418 U.S. 323, 340, 342, 41 L. Ed. 2d 789, 94 S. Ct. 2997 (1974) (recognizing that in *New York Times Co. v. Sullivan*, 376 U.S. 254, 11 L. Ed. 2d 686, 84 S. Ct. 710 (1964), we "extended a measure of strategic protection to defamatory falsehood" of public officials); *Freedman v. Maryland*, 380 U.S. 51, 58, 13 L. Ed. 2d 649, 85 S. Ct. 734 (1965) (setting forth "procedural safeguards designed to obviate the dangers of a censorship system" with respect to motion picture obscenity). In these cases, and others involving the *First Amendment*, the Court has acknowledged that in order to guarantee that protected speech is not "chilled" and thus forgone, it is in some instances necessary to incorporate in our substantive rules a "measure of strategic protection." But that is because the Court has viewed the importation of "chill" as *itself* a violation of the *First Amendment* -- not because the Court thought it could go beyond what the *First Amendment* [\*\*2345] demanded in order to provide some prophylaxis.

Petitioner and the United States are right on target, however, in characterizing the Court's actions in a case decided within a few years of *Miranda*, *North Carolina v. Pearce*, 395 U.S. 711, 23 L. Ed. 2d 656, 89 S. Ct. 2072 (1969). There, the Court concluded that due process would be offended were a judge vindictively to resentence with added severity a defendant who had successfully appealed his original conviction. Rather than simply announce that vindictive sentencing violates the Due Process Clause, the Court went on to hold that "in order to assure the absence of such a [vindictive] motivation, . . . the reasons for [imposing the increased sentence] must affirmatively appear" and must "be based upon objective information concerning identifiable conduct on the part of the defendant occurring after the time of the original sentencing proceeding." 395 U.S. at 726. The Court later explicitly acknowledged *Pearce*'s prophylactic character, see *Michigan v. Payne*, 412 U.S. 47, 53, 36 L. Ed. 2d 736, 93 S. Ct. 1966 (1973). It is true, therefore, that the [\*460] case exhibits the same fundamental flaw as does *Miranda* when deprived (as it has been) of its original (implausible) pretension to announcement of what the Constitution itself required. That is, although the Due Process Clause may well prohibit punishment based on judicial vindictiveness, the Constitution by no means vests in the courts "any general power to prescribe par-

ticular devices 'in order to assure the absence of such a motivation,'" 395 U.S. at 741 (Black, J., dissenting). Justice Black surely had the right idea when he derided the Court's requirement as "pure legislation if there ever was legislation," *ibid.*, although in truth *Pearce*'s rule pales as a legislative achievement when compared to the detailed code promulgated in *Miranda*.<sup>1</sup>

1 As for *Michigan v. Jackson*, 475 U.S. 625, 89 L. Ed. 2d 631, 106 S. Ct. 1404 (1986), upon which petitioner and the United States also rely, in that case we extended to the *Sixth Amendment*, postindictment, context the *Miranda*-based prophylactic rule of *Edwards v. Arizona*, 451 U.S. 477, 68 L. Ed. 2d 378, 101 S. Ct. 1880 (1981), that the police cannot initiate interrogation after counsel has been requested. I think it less a separate instance of claimed judicial power to impose constitutional prophylaxis than a direct, logic-driven consequence of *Miranda* itself.

[\*\*\*431] The foregoing demonstrates that, petitioner's and the United States' suggestions to the contrary notwithstanding, what the Court did in *Miranda* (assuming, as later cases hold, that *Miranda* went beyond what the Constitution actually requires) is in fact extraordinary. That the Court has, on rare and recent occasion, repeated the mistake does not transform error into truth, but illustrates the potential for future mischief that the error entails. Where the Constitution has wished to lodge in one of the branches of the Federal Government some limited power to supplement its guarantees, it has said so. See *Amdt. 14, § 5* ("The Congress shall have power to enforce, by appropriate legislation, the provisions of this article"). The power with which the Court would endow itself under a "prophylactic" justification for *Miranda* goes far beyond what it has permitted Congress to do under authority of that text. Whereas we have insisted [\*461] that congressional action under § 5 of the *Fourteenth Amendment* must be "congruent" with, and "proportional" to, a constitutional violation, see *City of Boerne v. Flores*, 521 U.S. 507, 520, 138 L. Ed. 2d 624, 117 S. Ct. 2157 (1997), the *Miranda* nontextual power to embellish confers authority to prescribe preventive measures against not only constitutionally prohibited compelled confessions, but also (as discussed earlier) foolhardy ones.

I applaud, therefore, the refusal of the Justices in the majority to enunciate this boundless doctrine of judicial empowerment as a means of rendering today's decision rational. In nonetheless joining the Court's judgment, however, they overlook [\*\*2346] two truisms: that actions speak louder than silence, and that (in judge-made law at least) logic will out. Since there is in fact no other principle that can reconcile today's judgment with the

post-*Miranda* cases that the Court refuses to abandon, what today's decision will stand for, whether the Justices can bring themselves to say it or not, is the power of the Supreme Court to write a prophylactic, extraconstitutional Constitution, binding on Congress and the States.

#### IV

Thus, while I agree with the Court that § 3501 cannot be upheld without also concluding that *Miranda* represents an illegitimate exercise of our authority to review state-court judgments, I do not share the Court's hesitation in reaching that conclusion. For while the Court is also correct that the doctrine of *stare decisis* demands some "special justification" for a departure from longstanding precedent -- even precedent of the constitutional variety -- that criterion is more than met here. To repeat JUSTICE STEVENS' cogent observation, it is "obviou[s]" that "the Court's power to reverse *Miranda*'s conviction rested *entirely* on the determination that a violation of the Federal Constitution had occurred." *Elstad*, 470 U.S. at 367, n. 9 (dissenting opinion) (emphasis added). Despite the Court's Orwellian assertion to the contrary, it is undeniable that later cases (discussed [\*462] above) have "undermined [*Miranda*'s] doctrinal underpinnings," *ante*, at 14, denying constitutional violation and thus stripping the holding of its only constitutionally legitimate support. *Miranda*'s critics and supporters alike have long made this point. See Office of Legal Policy, [\*\*\*432] U.S. Dept. of Justice, Report to Attorney General on Law of Pre-Trial Interrogation 97 (Feb. 12, 1986) ("The current Court has repudiated the premises on which *Miranda* was based, but has drawn back from recognizing the full implications of its decisions"); *id.* at 78 ("*Michigan v. Tucker* accordingly repudiated the doctrinal basis of the *Miranda* decision"); Sonenshein, *Miranda* and the Burger Court: Trends and Countertrends, 13 Loyola U. Chi. L. J. 405, 407-408 (1982) ("Although the Burger Court has not overruled *Miranda*, the Court has consistently undermined the rationales, assumptions, and values which gave *Miranda* life"); *id.* at 425-426 ("Seemingly, the Court [in *Michigan v. Tucker*] utterly destroyed both *Miranda*'s rationale and its holding"); Stone, The *Miranda* Doctrine in the Burger Court, 1977 S. Ct. Rev. 99, 118 ("Mr. Justice Rehnquist's conclusion that there is a violation of the *Self-Incrimination Clause* only if a confession is involuntary . . . is an outright rejection of the core premises of *Miranda*").

The Court cites *Patterson v. McLean Credit Union*, 491 U.S. 164, 173, 105 L. Ed. 2d 132, 109 S. Ct. 2363 (1989), as accurately reflecting our standard for overruling, see *ante*, at 14 -- which I am pleased to accept, even though *Patterson* was speaking of overruling statutory cases and the standard for constitutional decisions is somewhat more lenient. What is set forth there reads as

though it was written precisely with the current status of *Miranda* in mind:

"In cases where statutory precedents have been overruled, the primary reason for the Court's shift in position has been the intervening development of the law, through either the growth of judicial doctrine or further action taken by Congress. Where such changes have [\*463] removed or weakened the conceptual underpinnings from the prior decision, . . . or where the later law has rendered the decision irreconcilable with competing legal doctrines or policies, . . . the Court has not hesitated to overrule an earlier decision." 491 U.S. at 173.

Neither am I persuaded by the argument for retaining *Miranda* that touts its supposed workability as compared with the totality-of-the-circumstances test it purported to replace. *Miranda*'s proponents [\*\*\*2347] cite *ad nauseam* the fact that the Court was called upon to make difficult and subtle distinctions in applying the "voluntariness" test in some 30-odd due process "coerced confessions" cases in the 30 years between *Brown v. Mississippi*, 297 U.S. 278, 80 L. Ed. 682, 56 S. Ct. 461 (1936), and *Miranda*. It is not immediately apparent, however, that the judicial burden has been eased by the "bright-line" rules adopted in *Miranda*. In fact, in the 34 years since *Miranda* was decided, this Court has been called upon to decide nearly 60 cases involving a host of *Miranda* issues, most of them predicted with remarkable prescience by Justice White in his *Miranda* dissent. 384 U.S. at 545.

Moreover, it is not clear why the Court thinks that the "totality-of-the-circumstances test . . . is more difficult than *Miranda* for law enforcement officers to conform to, and for courts to apply in a consistent manner." *Ante*, at 14. Indeed, I find myself persuaded [\*\*\*433] by JUSTICE O'CONNOR's rejection of this same argument in her opinion in *Williams*, 507 U.S. at 711-712 (O'CONNOR, J., joined by REHNQUIST, C. J., concurring in part and dissenting in part):

"*Miranda*, for all its alleged brightness, is not without its difficulties; and voluntariness is not without its strengths. . . .

". . . *Miranda* creates as many close questions as it resolves. The task of determining whether a defendant is in 'custody' has proved to be 'a slippery one.' And the supposedly 'bright' lines that separate interrogation [\*464] from spontaneous declaration, the exercise of a right from waiver, and the adequate warning from the inadequate, likewise have turned out to be rather dim and ill defined. . . .

"The totality-of-the-circumstances approach, on the other hand, permits each fact to be taken into account without resort to formal and dispositive labels. By dis-

530 U.S. 428, \*; 120 S. Ct. 2326, \*\*;  
147 L. Ed. 2d 405, \*\*\*; 2000 U.S. LEXIS 4305

pending with the difficulty of producing a yes-or-no answer to questions that are often better answered in shades and degrees, *the voluntariness inquiry often can make judicial decisionmaking easier rather than more onerous.*" (Emphasis added; citations omitted.)

But even were I to agree that the old totality-of-the-circumstances test was more cumbersome, it is simply not true that *Miranda* has banished it from the law and replaced it with a new test. Under the current regime, which the Court today retains in its entirety, courts are frequently called upon to undertake *both* inquiries. That is because, as explained earlier, voluntariness remains the *constitutional* standard, and as such continues to govern the admissibility for impeachment purposes of statements taken in violation of *Miranda*, the admissibility of the "fruits" of such statements, and the admissibility of statements challenged as unconstitutionally obtained *despite* the interrogator's compliance with *Miranda*, see, e.g., *Colorado v. Connelly*, 479 U.S. 157, 93 L. Ed. 2d 473, 107 S. Ct. 515 (1986).

Finally, I am not convinced by petitioner's argument that *Miranda* should be preserved because the decision occupies a special place in the "public's consciousness." Brief for Petitioner 44. As far as I am aware, the public is not under the illusion that we are infallible. I see little harm in admitting that we made a mistake in taking away from the people the ability to decide for themselves what protections (beyond those required by the Constitution) are reasonably affordable in the criminal investigatory process. And I see much to be gained by reaffirming for the people the wonderful reality [\*465] that they govern themselves -- which means that "the powers not delegated to the United States by the Constitution" that the people adopted, "nor prohibited . . . to the States" by that Constitution, "are reserved to the States respectively, or to the people," *U.S. Const., Amdt. 10*.<sup>2</sup>

<sup>2</sup> The Court cites my dissenting opinion in *Mitchell v. United States*, 526 U.S. 314, 331-332, 143 L. Ed. 2d 424, 119 S. Ct. 1307 (1999), for the proposition that "the fact that a rule has found 'wide acceptance in the legal culture' is 'adequate reason not to overrule' it." *Ante*, at 13. But the legal culture is not the same as the "public's consciousness"; and unlike the rule at issue in *Mitchell* (prohibiting comment on a defendant's refusal to testify) *Miranda* has been continually criticized by lawyers, law enforcement officials, and scholars since its pronouncement (not to mention by Congress, as § 3501 shows). In *Mitchell*, moreover, the constitutional underpinnings of the earlier rule had not been demolished by subsequent cases.

[\*\*\*434] [\*\*2348] \* \* \*

Today's judgment converts *Miranda* from a milestone of judicial overreaching into the very Cheops' Pyramid (or perhaps the Sphinx would be a better analogue) of judicial arrogance. In imposing its Court-made code upon the States, the original opinion at least *asserted* that it was demanded by the Constitution. Today's decision does not pretend that it is -- and yet *still* asserts the right to impose it against the will of the people's representatives in Congress. Far from believing that *stare decisis* compels this result, I believe we cannot allow to remain on the books even a celebrated decision -- *especially* a celebrated decision -- that has come to stand for the proposition that the Supreme Court has power to impose extraconstitutional constraints upon Congress and the States. This is not the system that was established by the Framers, or that would be established by any sane supporter of government by the people.

I dissent from today's decision, and, until § 3501 is repealed, will continue to apply it in all cases where there has been a sustainable finding that the defendant's confession was voluntary.

## REFERENCES

21A Am Jur 2d, *Criminal Law* 986; 29A Am Jur 2d, *Evidence* 721, 724, 728, 749; 75B Am Jur 2d, *Trial* 1357

USCS, *Constitution, Amendment 5*; 18 USCS 3501

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L Ed Index, *Miranda v Arizona*

### Annotation References:

The progeny of *Miranda v Arizona* in the Supreme Court. 46 L Ed 2d 903.

Admissibility of pretrial confession in criminal case--Supreme Court cases. 1 L Ed 2d 1735, 4 L Ed 2d 1833, 12 L Ed 2d 1340, 16 L Ed 2d 1294, 22 L Ed 2d 872.

Duty of court, in federal criminal prosecution, to conduct inquiry into voluntariness of accused's statement--modern cases. 132 ALR Fed 415.

Comment Note.--Necessity of informing suspect of rights under privilege against self-incrimination, prior to police interrogation. 10 ALR3d 1054.

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